

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE**

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 In re: : Chapter 11
 : :
 DNIB UNWIND, INC. (f/k/a BIND : Case No. 16-11084 (BLS)
 Therapeutics, Inc.), *et al.*,¹ : :
 : (Jointly Administered)
 Post-Effective Date Debtors. : :
 -----X

AFFIDAVIT OF SERVICE

I, Keri-Ann Cuadros, depose and say that I am employed by Prime Clerk LLC (“**Prime Clerk**”), the claims and noticing agent for the Post-Effective Date Debtors in the above-captioned chapter 11 cases.

On February 6, 2017, at my direction and under my supervision, employees of Prime Clerk caused the following material to be served via email on the party identified on the service list attached hereto as **Exhibit A**. The Depository Trust Company (DTCC) was also provided with instructions of the below document to publicize to the beneficial owners of the Post-Effective Date Debtors’ public securities:

- DNIB Unwind, Inc. (f/k/a BIND Therapeutics, Inc.) and the DNIB Liquidation Trust Equity Distribution Form, a copy of which is attached hereto as **Exhibit B** (the “**Equity Distribution Form**”);

On February 7, 2017, at my direction and under my supervision, employees of Prime Clerk caused the Equity Distribution Form to be served via overnight mail on the banks, brokers, dealer agents, nominees or their agents (collectively, the “**Nominees**”) identified on the service lists attached hereto as **Exhibit C**. The Nominees were provided with instructions and sufficient quantities of the aforementioned document to distribute to the beneficial owners of the Post-Effective Date Debtors’ public securities.

In addition to the hard copy service detailed above, on February 7, 2017, at my direction and under my supervision, employees of Prime Clerk caused the Equity Distribution Form to be served via email on the parties identified on the service list attached hereto as **Exhibit D**.

¹ The Post-Effective Date Debtors, together with the last four digits of each Post-Effective Date Debtor’s U.S. federal tax identification number, are: DNIB Unwind, Inc. (f/k/a BIND Therapeutics, Inc.) (6148) and DNIB Subsidiary Corporation (f/k/a BIND Biosciences Security Corporation) (3208). The address for the Post-Effective Date Debtors is c/o Development Specialists, Inc., 333 South Grand Avenue, Suite 4070, Los Angeles, CA 90071.

Dated: February 10, 2017


Keri-Ann Cuadros

State of New York
County of New York

Subscribed and sworn to (or affirmed) before me on February 10, 2017, by Keri-Ann Cuadros,
proved to me on the basis of satisfactory evidence to be the person who appeared before me.

Signature: 

MARK M BROWN
NOTARY PUBLIC-STATE OF NEW YORK
No. 02BR6305738
Qualified in New York County
My Commission Expires June 09, 2018

Exhibit A

Depository Service List
Served via Email

Names	Emails
DTCC	lensnotices@dtcc.com; mandatoryreorgannouncements@dtcc.com; voluntaryreorgannouncements@dtcc.com; rgiordano@dtcc.com

Exhibit B

DNIB Unwind, Inc. (f/k/a BIND Therapeutics, Inc.) and the DNIB Liquidation Trust**VERY IMPORTANT**

In order to receive any additional distributions pursuant to the Plan (as defined herein) with respect to your beneficial interest in the DNIB Liquidation Trust (the “Trust”) on account of your prior ownership of shares of stock of BIND Therapeutics, Inc., you must complete and return the enclosed W-9 form or W-8 form, as applicable.

You should read this letter, the Liquidation Trust Agreement (as defined below) and the Plan carefully and discuss them with your advisors.

Ladies and Gentlemen:

You are receiving this letter because you held one or more shares of BIND Equity Interests (as defined in the Plan) on August 30, 2016 (the “Distribution Record Date”).

On May 1, 2016, DNIB Unwind, Inc. (f/k/a BIND Therapeutics, Inc.) and DNIB Subsidiary Corporation (f/k/a BIND Biosciences Security Corporation) (collectively, the “Debtors”) filed voluntary petitions for relief under chapter 11 of title 11 of the United States Code in the United States Bankruptcy Court for the District of Delaware (the “Bankruptcy Court”).

On September 26, 2016, the Bankruptcy Court entered an order [Docket No. 457] (the “Confirmation Order”) confirming the *Debtors’ Amended Combined Disclosure Statement and Chapter 11 Plan of Liquidation*, dated September 14, 2016 [Docket No. 415] (as modified and approved by the Confirmation Order, the “Plan”) and the Plan became effective on October 11, 2016 (the “Effective Date”) [Docket No. 474].¹

Pursuant to the Plan and the Confirmation Order, on the Effective Date, all assets of the Debtors were transferred to the Trust and the Liquidating Trustee was appointed to serve in accordance with the Liquidation Trust Agreement (the “Liquidation Trust Agreement”), dated October 11, 2016, by and among DNIB Unwind, Inc., DNIB Subsidiary Corporation and Geoffrey L. Berman, solely in his capacity as trustee of the DNIB Liquidation Trust (the “Liquidating Trustee”).

On and after the Effective Date, the Liquidating Trustee has the responsibility to make distributions under the Plan. Section 5.1 of the Liquidation Trust Agreement provides that all funds remaining in the Trust after the payment of costs and expenses are to be paid to the Liquidation Trust Beneficiaries (which include all holders of Allowed General Unsecured Claims and all Allowed Equity Interests under the Plan) according to the terms of the Plan and the Liquidation Trust Agreement.

¹ Copies of the Plan, the Liquidation Trust Agreement and all other filings in the Debtors’ bankruptcy cases can be obtained and viewed free of charge at the following web address: <http://cases.primeclerk.com/BIND>.

Pursuant to the Plan:

“On the Effective Date, all BIND Equity Interests shall be cancelled. Allowed Equity Interest Holders shall receive an Initial Equity Distribution on the Initial Equity Distribution Date in accordance with the terms of [the Plan]. . . . For the avoidance of doubt, no further Distributions on account of Allowed Equity Interests shall be made unless and until all Claims of a higher priority under the Bankruptcy Code, including, but not limited to, Allowed Claims, are satisfied in full and all objections with respect thereto have been resolved and any Claims that are or become Allowed Claims are satisfied in full with interest and there are no unresolved Claims of any Creditors.”

The Liquidation Trust Agreement provides that each Liquidation Trust Beneficiary is entitled to receive information from the Liquidating Trustee necessary for each Liquidation Trust Beneficiary to determine its share of items of income, gain, loss, deduction or credit for United States federal income tax purposes.

In order to receive any further distribution(s) under the Plan and your annual Form K-1, you must:

- (1) coordinate completion of the enclosed Nominee Certification with your Nominee;
- (2) complete the enclosed W-9 form or W-8 form, as applicable; and
- (3) send the (a) completed W-9 form or W-8 form and (b) the completed Nominee Certification to:

Prime Clerk LLC
Attn: BIND Distribution Form Processing
830 3rd Avenue, 3rd Floor
New York, NY 10022

IMPORTANT NOTE: If you do not return the completed W-9 form or W-8 form, as applicable, to the above address within 180 days, you will not receive any further distributions from the Trust, regardless of whether you received any prior distributions under the Plan.

If you have questions regarding this letter, please contact:

Prime Clerk LLC
Attn: BIND Distribution Form Processing
830 3rd Avenue, 3rd Floor
New York, NY 10022
T: (855) 388-4576

Please coordinate completion of the below by your nominee if you hold the shares through a nominee.

Nominee Certification. In order to receive further distribution(s) under the Plan and your annual Form K-1, your ownership of the shares (CUSIP 05548N 107) must be confirmed by your nominee, which nominee must complete the below on your behalf.

To be completed by Nominee only	
DTC Participant Name: <hr/>	Number of Shares of CUSIP 05548N 107 that was held by Nominee for account indicated below as of August 30, 2016: <hr/>
DTC Participant Number: <hr/>	Nominee’s Medallion Guarantee (or attach authorized signatory list hereto):
DTC Participant Contact Name: <hr/>	
DTC Participant Authorized Signature: <hr/>	
DTC Participant Contact Number: <hr/>	
DTC Participant Email Address: <hr/>	
Beneficial Holder Name: <hr/>	
Beneficial Holder Account Number: <hr/>	

Exhibit C

EXHIBIT C
Nominees Service List
Served via Overnight Mail

Name	Address1	Address2	Address3	City	State	Postal Code	Country
BAIRD & CO. INCORPORATED (0547)	ATTN: JANE ERBE OR REORG MGR	777 E. WISCONSIN AVENUE		MILWAUKEE	WI	53202	
AMERICAN ENTERPRISE (0216/0756/2146)	ATTN: REORG MGR	2178 AMERIPRISE FINANCIAL CENTER	ROUTING: S6/2178	MINNEAPOLIS	MN	55474	
BANK OF AMERICA / GWIM (0955)	ATTN: STEFANIE PALADINO OR REORG MGR	1201 MAIN		DALLAS	TX	75202	
BARCLAYS CAPITAL INC. (0229/5101)	ATTN: CORPORATE ACTIONS	400 JEFFERSON PARK 4TH FLOOR		WHIPPANY	NJ	7981	
BB & T SECURITIES(0702)	ATTN: JESSE W SPROUSE OR REORG DEPT.	8006 DISCOVERY DRIVE	SUITE 200	RICHMOND	VA	23229	
BB & T SECURITIES(0702)	ATTN: RICKY JACKSON OR REORG DEPT.	CORPORATE ACTIONS	8006 DISCOVERY DRIVE	RICHMOND	VA	23229	
BBS/CDS** (5085)	ATTN: DEBORAH CARLYLE OR REORG MGR	4100 YONGE STREET	SUITE 504A	TORONTO	ON	M2P 2G2	CANADA
BMO NESBITT BURNS INC. /CDS (5043)	ATTN: REORG MGR	B1 LEVEL 1ST CANADIAN PL.	100 KING ST. W.	TORONTO	ON	M5X 1H3	CANADA
BNP PARIBAS NY BRANCH (1569/2787)	ATTN: DEAN GALLI OR REORG DEPT	525 WASHINGTON BLVD.	9TH FLOOR	JERSEY CITY	NJ	07310	
BNP PARIBAS PRIME BROKERAGE, INC. (2154/2147)	ATTN: GENE BANFI OR REORG MGR	525 WASHINGTON BLVD 9TH FLOOR		JERSEY CITY	NJ	7310	
BNY MELLON/ NE TRUST (0954)	ATTN: BETH STIFFLER OR REORG MGR	525 WILLIAM PENN PLACE	SUITE 300	PITTSBURGH	PA	15259	
BNY/CHARLES SCHWAB (2336)	ATTN: MICHAEL KANIA OR REORG MGR	525 WILLIAM PENN PLACE		PITTSBURGH	PA	15259	
BONY/SPDR (2209)	ATTN: JENNIFER MAY OR REORG MGR	525 WILLIAM PENN PLACE		PITTSBURGH	PA	15259	
BROWN BROTHERS HARRIMAN & CO. (0010)	ATTN: PAUL NONNON OR REORG MGR.	525 WASHINGTON BLVD.	NEW PORT TOWERS	JERSEY CITY	NJ	07310-1607	
BROWN BROTHERS HARRIMAN & CO./ETF (0109)	ATTN: SHELDON BROUTMAN OR REORG MGR	140 BROADWAY		NEW YORK	NY	10005	
CHARLES SCHWAB & CO., INC. (0164)	ATTN: EVA FLORES OR REORG. DEPT.	211 MAIN STREET		SAN FRANCISCO	CA	94105	
CHARLES SCHWAB & CO., INC. (0164)	ATTN: DEBORAH JUNG OR REORG MGR.	2423 EAST LINCOLN DRIVE	1ST FLOOR	PHOENIX	AZ	85016-1215	
CHARLES SCHWAB & CO., INC. (0164)	ATTN: NANCY BRIM OR REORG MGR.	2423 EAST LINCOLN DRIVE	PHX PEAK 02 K130	PHOENIX	AZ	85016-1215	
CHARLES SCHWAB & CO., INC. (0164)	ATTN: CHRISTINA YOUNG OR REORG MGR.	2423 EAST LINCOLN DRIVE		PHOENIX	AZ	85016-1215	
CIBC WORLD MARKETS INC. /CDS (5030)	ATTN: JERRY NICASTRO OR REORG MGR	161 BAY STREET	10TH FLOOR	TORONTO	ON	M5J 2S8	CANADA
CIT SECLLC (8430)	ATTN: KEVIN NEWSTEAD OR REORG MGR	131 SOUTH DEARBORN STREET	35TH FLOOR	CHICAGO	IL	60603	
CITIBANK, N.A. (0908)	ATTN: CAROLYN TREBUS OR REORG MGR	3800 CITIBANK CENTER B3-12		TAMPA	FL	33610	
CITIBANK, N.A. (0908)	ATTN: EVENTS CREATION OR REORG MGR	3800 CITIBANK CENTER B3-12		TAMPA	FL	33610	
CITIBANK, N.A. (0908)	ATTN: SANDRA HERNANDEZ OR REORG MGR	3800 CITIBANK CENTER B3-12		TAMPA	FL	33610	
CITIBANK, N.A. (0908)	ATTN: SHERIDA SINANAN OR REORG MGR	3800 CITIBANK CENTER	B/3RD FLOOR/ZONE 12	TAMPA	FL	33610	
CITIGROUP GLOBAL MARKETS INC. (0418/0505)	ATTN: ROSE MARIE YODICE OR REORG MGR	388 GREENWHICH STREET 11TH FLOOR		NEW YORK	NY	10013	
CLEARVIEW CORRESPONDENT SERVICES, LLC -702	ATTN: GLORIA LIO OR REORG. DEPT.	30 HUDSON STREET	16TH FLOOR	JERSEY CITY	NJ	7302	
COR LLC (0052)	ATTN: AMBRA STRAIGHT OR REORG MGR	9300 UNDERWOOD AVENUE	SUITE 400	OMAHA	NE	68114	
COR LLC (0052)	ATTN: LUKE HOLLAND OR REORG MGR	1200 LANDMARK CENTER	SUITE 800	OMAHA	NE	68102	
CREDIT SUISSE SECURITIES (0355)	ATTN: ASHWINEE SAWH OR REORG MGR	11 MADISON AVENUE	23RD FLOOR	NEW YORK	NY	10010	
CREDIT SUISSE SECURITIES USA LLC - (0355)	ATTN: TIM JOHNSON OR REORG MGR.	7033 LOUIS STEPHENS DRIVE		RESEARCH TRIANGLE PARK	NC	27709	
CREDIT SUISSE SECURITIES USA LLC - (0355)	ATTN: ANTHONY MILO OR REORG MGR.	7033 LOUIS STEPHENS DRIVE	GLOBAL PROXY SERVICES	RESEARCH TRIANGLE PARK	NC	27709	
CREDIT SUISSE SECURITIES USA LLC - (0355)	ATTN: SARAH CHANDRIKA OR REORG MGR.	ONE MADISON AVE		NEW YORK	NY	10010	
CREST INTL NOMINEES LIMITED (2012)	ATTN: JASON MURKIN OR REORG MGR	33 CANNON ST.		LONDON	UK	EC4M 5SB	UNITED KINGDOM
CREST INTL NOMINEES LIMITED (2012)	ATTN: NATHAN ASHWORTH OR REORG MGR	33 CANNON ST.		LONDON	UK	UK EC4M 5SB	UNITED KINGDOM
DEPOSITORY TRUST CO.	ATTN: ED HAIDUK	55 WATER STREET	25TH FLOOR	NEW YORK	NY	10041	
DEPOSITORY TRUST CO.	ATTN: HORACE DALEY	55 WATER STREET	25TH FLOOR	NEW YORK	NY	10041	
DESJARDINS SECURITIES INC.** (5028)	ATTN: REORG DEPT-MTL-1060-1ER-E	1060 ROBERT-BOURASSA BLVD, SUITE 101		MONTREAL	QC	H3B 5L7	CANADA
DEUTSCHE BANK SECURITIES (0573)	ATTN: JOE VARGA OR REORG MGR.	5022 GATE PARKWAY	SUITE 100	JACKSONVILLE	FL	32256	
E*TRADE /RIDGE CLEARING (0158)	ATTN: BRIAN DARBY OR REORG MGR	ONE DALLAS CENTER	350 M. ST. PAUL SUITE 1300	DALLAS	TX	75201	
E*TRADE /RIDGE CLEARING (0158)	ATTN: BILIANA STOIMENOVA OR REORG MGR	1700 PACIFIC AVENUE, SUITE 1400		DALLAS	TX	75201	
E*TRADE CLEARING LLC (0385)	ATTN: VICTOR LAU OR PROXY MGR	34 EXCHANGE PLACE	PLAZA II	JERSEY CITY	NJ	07311	
EDWARD JONES (0057)	ATTN: GERRI KAEMPFE OR REORG MGR	CORPORATE ACTIONS & DISTRIBUTION	12555 MANCHESTER ROAD	ST. LOUIS	MO	63131	
EDWARD JONES (0057)	ATTN: DEREK ADAMS OR REORG MGR	CORPORATE ACTIONS & DISTRIBUTION	12555 MANCHESTER ROAD	ST. LOUIS	MO	63131	
EDWARD JONES/CDS** (5012)	ATTN: KENNIQUE MEALS	CORP ACTION AND DISTRIBUTION	12555 MANCHESTER ROAD	ST. LOUIS	MO	63131	

EXHIBIT C
 Nominees Service List
 Served via Overnight Mail

Name	Address1	Address2	Address3	City	State	Postal Code	Country
FIFTH THIRD BANK, THE (2116)	ATTN: CARRIE POTTER OR REORG MGR	5001 KINGSLEY DRIVE MAIL DROP 1M0B2D		CINCINNATI	OH	45227	
FIFTH THIRD BANK, THE (2116)	ATTN: LANCE WELLS OR REORG MGR	5001 KINGSLEY DRIVE	MAIL DROP 1M0B2D	CINCINNATI	OH	45227	
FIRST CLEARING (0141)	ATTN: FINESSA ROSSON OR REORG DEPT.	1 NORTH JEFFERSON 9-F		ST. LOUIS	MO	63103	
FIRST CLEARING, LLC (0141)	ATTN: MATT BUETTNER OR REORG DEPT.	2801 MARKET STREET	H0006-09B	ST. LOUIS	MO	63103	
FORTIS CLEARING AMERICAS LLC (0695/0396)	ATTN: SUE NOWLICKI OR REORG MGR	175 W. JACKSON BLVD SUITE 400		CHICAGO	IL	60605	
GOLDMAN, SACHS & CO. (0005)	ATTN: ALEX MUCHNIK OR REORG MGR	30 HUDSON ST.	PROXY DEPARTMENT	JERSEY CITY	NJ	07302-0000	
HSBC/CLEAR (8396)	ATTN: HOWARD DASH OR REORG MGR	452 5TH AVENUE		NEW YORK	NY	10018	
INTERACTIVE BROKERS (0017/0534)	ATTN: CARLA GOOLEY OR REORG MGR	1 PICKWICK PLAZA		GREENWICH	CT	6830	
J.P. MORGAN CLEARING CORP. (0352)	ATTN: REORG MGR	14201 NORTH DALLAS PARKWAY	12TH FLOOR	DALLAS	TX	75254	
J.P. MORGAN CLEARING CORP. (0352)	ATTN: ERIC OSZUSTOQICZ OR REORG MGR	3 CHASE METROTECH CENTER	PROXY DEPT./NY1-H034	BROOKLYN	NY	11245-0001	
J.P. MORGAN CLEARING CORP. (0352)	ATTN: BRODERICK WALKER OR REORG MGR	DEPT. C, CASHIERS DEPARTMENT ONE METROTECH	CENTER NORTH REORG DEPT 4TH FLOOR	BROOKLYN	NY	11201-3862	
JANNEY MONTGOMERY SCOTT INC. (0374)	ATTN: REGINA LUTZ OR REORG MGR	1801 MARKET STREET	9TH FLOOR	PHILADELPHIA	PA	19103-1675	
JANNEY MONTGOMERY SCOTT INC. (0374)	ATTN: BOB MARTIN OR REORG MGR	1801 MARKET STREET	9TH FLOOR	PHILADELPHIA	PA	19103-1675	
JEFFERIES & COMPANY, INC. (0019)	ATTN: RAY DESOUZA OR REORG MGR	HARBORSIDE FINANCIAL CENTER 705 PLAZA 3		JERSEY CITY	NJ	7311	
JPMCH/CTC (2424)	ATTN: DARRIN NELSON OR REORG MGR	14201 DALLAS PARKWAY	13TH FLOOR	DALLAS	TX	75254	
JPMORGAN CHASE BANK, NA (0902)	ATTN: JACOB BACK OR REORG MGR	14201 DALLAS PARKWAY	12TH FLOOR	DALLAS	TX	75254	
JPMORGAN CHASE BANK, NA (0902)/(2424)	ATTN: PHILIP ROY OR REORG MGR	14201 DALLAS PARKWAY	12TH FLOOR	DALLAS	TX	75254	
JPMORGAN CHASE BANK/IA (2357)	ATTN: SUSHIL PATEL OR REORG MGR	14201 DALLAS PARKWAY SUITE 121		DALLAS	TX	75254	
KNIGHT CLEARING SERVICES LLC (0295)	ATTN: JANICA BRINK OR REORG MGR	545 WASHINGTON BLVD.		JERSEY CITY	NJ	7310	
LEK SECURITIES CORPORATION (0512)	ATTN: DANIEL HANUKA OR REORG MGR	1 LIBERTY PLAZA 52ND FLOOR		NEW YORK	NY	10006	
LPL FINANCIAL CORPORATION (0075)	ATTN: MARTHA LANG	4828 PARKWAY PLAZA BLVD		CHARLOTTE	NC	28217	
LPL FINANCIAL CORPORATION (0075)	ATTN: CORPORATE ACTIONS	4707 EXECUTIVE DRIVE		SAN DIEGO	CA	92121	
M&I MARSHALL & ILSLEY BANK (0992)	ATTN: CYNTHIA HAMMERELL OR REORG MGR	1000 N. WATER STREET -TR 14		MILWAUKEE	WI	53202	
MANULIFE SECURITIES INC/CDS (5047)	ATTN: JOSEPH CHAU OR REORG MGR	85 RICHMOND STREET		WEST TORONTO	ON	M5H 2C9	CANADA
MARSCO INVESTMENT CORPORATION (0287)	ATTN: KAREN JACOBSEN OR REORG MGR	101 EISENHOWER PARKWAY		ROSELAND	NJ	07068-0000	
MERRILL LYNCH, PIERCE, FENNER (0161/5198)	ATTN: EARL WEEKS OR REORG MGR	4804 DEER LAKE DR E		JACKSONVILLE	FL	32246	
MORGAN STANLEY & CO. (0050)	ATTN: IRVING CORUJO OR REORG MGR	ONE NEW YORK PLAZA	7TH FLOOR	NEW YORK	NY	10004	
MORGAN STANLEY & CO. INCORPORATED-50	ATTN: RAQUEL DEL MONTE OR REORG	ONE NEW YORK PLAZA 7TH FLOOR		NEW YORK	NY	10004	
MORGAN STANLEY & CO./INTL PLC (0050)	ATTN: MICHELE FORD OR REORG MGR	901 SOUTH BOND ST 6TH FL		BALTIMORE	MD	21231	
MORGAN STANLEY SMITH BARNEY LLC (0015)	ATTN: JOHN BARRY OR REORG MGR	1300 THAMES STREET WHARF		BALTIMORE	MD	21231	
NATIONAL FINANCIAL SERVICES LLC (0226)	ATTN: SEAN COLE OR REORG MGR	499 WASHINGTON BLVD	5TH FLOOR	JERSEY CITY	NJ	07310	
NATIONAL FINANCIAL SERVICES LLC (0226)	ATTN: KARL BAKER OR REORG MGR	499 WASHINGTON BLVD	5TH FLOOR	JERSEY CITY	NJ	07310	
NBCN INC. /CDS (5008)	ATTN: BENOIT HENAUULT OR REORG MGR	1010 RUE DE LA GAUCHETIERE ST. WEST	SUITE 1925	MONTREAL	QC	H3B 5J2	CANADA
NOMURA SECURITIES (0180/7507/7584)	ATTN: HERNAN SANCHEZ OR REORG MGR	309 WEST 49TH STREET	WORLDWIDE PLAZA 10TH FLOOR	NEW YORK	NY	10019	
NORTHERN TRUST CO (2669)	ATTN: ROBERT VALENTIN OR REORG MGR	801 S CANAL STREET	FLOOR C1N	CHICAGO	IL	60607	
NORTHERN TRUST CO (2669)	ATTN: ANDREW LUSSEN OR REORG MGR	801 S CANAL STREET	FLOOR C1N	CHICAGO	IL	60607	
OPPENHEIMER & CO. INC. (0571/0303)	ATTN: OSCAR NAZARIO OR REORG MGR	85 BROAD STREET, 4TH FL		NEW YORK	NY	10004	
OPTIONSXPRESS, INC (0338)	ATTN: SCOTT JOHNSON OR REORG MGR	311 W. MONROE STREET		CHICAGO	IL	60606	
PEOPLE SECURITIES (0220)	ATTN: PATRICIA CHONKO OR REORG MGR	850 MAIN STREET		BRIDGEPORT	CT	6604	
PERSHING LLC (0443)	ATTN: EDDIE ORLANDO OR REORG MGR	SECURITIES CORPORATION	1 PERSHING PLAZA 7TH FLOOR - REORG.	JERSEY CITY	NJ	7399	
PERSHING LLC (0443)	ATTN: AL HERNANDEZ OR REORG MGR	SECURITIES CORPORATION 1 PERSHING PLAZA 7TH FLOOR -REORG.		JERSEY CITY	NJ	7399	
PERSHING LLC (0443)	ATTN: JOSEPH LAVARA OR REORG MGR	1 PERSHING PLAZA		JERSEY CITY	NJ	7399	
PNC BANK, N.A. (2616)	ATTN: JANET CLEARY OR REORG MGR	8800 TINICUM BLVD	MS F6-F266-02-2	PHILADELPHIA	PA	19153	
PRIMEVEST FINANCIAL SERVICES (0701)	ATTN: MARK SCHOUVILLER OR REORG MGR	400 1ST STREET SOUTH		ST. CLOUD	MN	56301	
QTRADE SECURITIES INC./CDS** (5009)	ATTN: JOSEPH CHAU OR REORG MGR	ONE BENTALL CENTRE	505 BURRARD ST., SUITE 1920	VANCOUVER	BC	V7X 1M6	CANADA

EXHIBIT C
 Nominees Service List
 Served via Overnight Mail

Name	Address1	Address2	Address3	City	State	Postal Code	Country
RAYMOND JAMES & ASSOCIATES, INC. (0725)	ATTN: ROBERTA GREEN OR REORG MGR	880 CARILION PARKWAY TOWER 2, 4TH FLOOR		ST. PETERSBURG	FL	33716	
RBC CAPITAL MARKETS (0235)	ATTN: STEVE SCHAFER OR REORG MGR	60 SOUTH 6TH STREET		MINNEAPOLIS	MN	55402	
RBC DOMINION /CDS (5002)	ATTN: KAREN OLIVERES OR REORG MGR	200 BAY STREET, 6TH FLOOR	ROYAL BANK PLAZA NORTH TOWER	TORONTO	ON	M5J 2W7	CANADA
ROBERT W. BAIRD & CO. (0547)	ATTN: JAN SUDFELD OR REORG MGR	777 E. WISCONSIN AVENUE	19TH FLOOR	MILWAUKEE	WI	53202	
ROBERT W. BAIRD & CO. (0547)	ATTN: TRACY TRENSCH OR REORG MGR	777 E. WISCONSIN AVENUE		MILWAUKEE	WI	53202	
SCOTIA CAPITAL INC. (0096)	ATTN: JOE LAPORTA OR REORG MGR	250 ZESEY STREET		NEW YORK	NY	10281	
SCOTIA CAPITAL INC. /CDS (5011)	ATTN: NORMITA RAMIREZ CORP ACTIONS DEPT	40 KING STREET WEST		TORONTO	ON	M5H 1H1	CANADA
SCOTTRADE, INC. (0705)	ATTN: REORGANIZATION DEPARTMENT	500 MARYVILLE UNIVERSITY DR.		ST. LOUIS	MO	63141	
SEI PRIVATE TRUST COMPANY (2039/2663)	ATTN: MELVIN ALLISON OR REORG MGR	ONE FREEDOM VALLEY DRIVE		OAKS	PA	19456	
SG AMERICAS SECURITIES, LLC (0286)	ATTN: CHARLES HUGHES OR REORG MGR	245 PARK AVE		NEW YORK	NY	10167	
SOUTHWEST SECURITIES, INC. (0279)	ATTN: DORIS KRAJC OR REORG MGR	1201 ELM STREET	SUITE 3700	DALLAS	TX	75270	
SOUTHWEST SECURITIES, INC. (0279)	ATTN: CHRISTINA FINZEN OR RORG MGR	1201 ELM STREET	SUITE 3700	DALLAS	TX	75270	
SOUTHWEST SECURITIES, INC. (0279)	ATTN: RHONDA JACKSON OR REORG MGR	1201 ELM STREET	SUITE 3500	DALLAS	TX	75270	
SSB - BLACKROCK TRUST (2767)	ATTN: TRINA ESTREMER OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	2171	
SSB- IBT/BGI (2767)	ATTN: TOM BRODERICK OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	2171	
SSB&T CO/CLIENT CUSTODY SERVICES (2678)	ATTN: MYRIAM PIERVIL OR REORG MGR	1776 HERITAGE DRIVE NORTH		QUINCY	MA	2171	
SSB/FRANK (2399)	ATTN: DUSTIN TOPJIAN OR REORG MGR.	CORPORATE ACTIONS	1776 HERITAGE DRIVE	NORTH QUINCY	MA	2171	
STATE STREET (0997)	ATTN: MIKE FEELEY/ROB RAY OR REORG MGR	CORP ACTIONS - JAB5E	1776 HERITAGE DRIVE	NORTH QUINCY	MA	2171	
STATE STREET BANK AND TRUST (0997/2319)	ATTN: CHRISTINE SULLIVAN OR REORG MGR	CORP ACTIONS - JAB5E	1776 HERITAGE DRIVE	NORTH QUINCY	MA	2171	
STERNE, AGEE & LEACH, INC. (0750)	ATTN: KEN SIMPSON OR PROXY MGR	2 PERIMETER PARK SOUTH, STE 100 W		BIRMINGHAM	AL	35243	
STERNE, AGEE & LEACH, INC. (0750)	ATTN: WENDY FLETCHER OR REORG MGR	813 SHADES CREEK PARKWAY	SUITE 100-B	BIRMINGHAM	AL	35242	
STIFEL, NICOLAUS & CO (0793)	ATTN: CHRIS WIEGAND OR REORG MGR	501 N. BROADWAY	7TH FLOOR STOCK RECORD DEPT	ST. LOUIS	MO	63102	
STIFEL, NICOLAUS & CO (0793)	ATTN: CRAIG MCINTOSH OR REORG MGR	501 N. BROADWAY	REORG DEPT	ST. LOUIS	MO	63102	
STOCKCROSS FINANCIAL (0445)	ATTN: ELEANOR PIMENTEL OR REORG MGR	77 SUMMER STREET 2ND FLOOR		BOSTON	MA	2210	
TD AMERITRADE CLEARING, INC. (0188)	ATTN: MANDI FOSTER OR REORG MGR	1005 NORTH AMERITRADE PLACE		BELLEVUE	NE	68005	
TD AMERITRADE CLEARING, INC. (0188)	ATTN: GARY SWAIN OR REORG MGR	1005 NORTH AMERITRADE PLACE		BELLEVUE	NE	68005	
TD WATERHOUSE/CDS (5036)	ATTN: ROHIT SANDHU OR REORG MGR	60 NORTH WINDPLACE		SCARBOROUGH	ON	M1S 5L4	CANADA
THE BANK OF NEW YORK MELLON (0901)	ATTN: JENNIFER MAY OR REORG MGR	525 WILLIAM PENN PLACE	SUITE 153-0400	PITTSBURGH	PA	15259	
THE BANK OF NEW YORK MELLON (0901)	ATTN: BRIAN MARNELL OR REORG MGR	525 WILLIAM PENN PLACE	ROOM 0300	PITTSBURGH	PA	15259	
TRADESTATION (0271)	ATTN: RICK GORDON OR REORG MGR	CORPORATE ACTIONS DEPARTMENT	8050 SW 10TH ST SUITE 2000	PLANTATION	FL	33324	
UBS FINANCIAL SERVICES LLC (0221)	ATTN: NEHA SOOD OR REORG MGR	315 DEADERICK STREET	5TH FLOOR	NASHVILLE	TN	37238	
UBS SECURITIES LLC (0642)	ATTN: JOSEPH POZOLANTE OR REORG MGR	315 DEADERICK STREET		NASHVILLE	TN	37238	
UBS SECURITIES LLC (0642)	ATTN: GREGORY CONTALDI OR REORG MGR	1000 HARBOR BLVD - 5TH FLOOR		WEENHAWKEN	NJ	7086	
US BANCORP INVESTMENTS, INC. (0280)	ATTN: KEVIN BROWN OR REORG MGR	60 LIVINGSTON AVENUE		ST. PAUL	MN	55107	
VANGUARD (0062)	ATTN: CORPORATE ACTION	100 VANGUARD BLVD		MALVERN	PA	19355	
VANGUARD (0062)	ATTN: CORPORATE ACTION	14321 N. NORTHSIGHT BLVD		SCOTTSDALE	AZ	85260	
VANGUARD (0062)	ATTN: PAUL NONNON OR REORG DEPT.	525 WASHINGTON BLVD.	NEW PORT TOWERS	JERSEY CITY	NJ	07310-1607	
VISION FINANCIAL MARKETS LLC (0595)	ATTN: HOWARD BRUNN OR REORG MGR	4 HIGH RIDGE PARK SUITE 100		STAMFORD	CT	6905	
WEDBUSH MORGAN SECURITIES (0103/8199)	ATTN: ALAN P. FERREIRA OR REORG MGR	1000 WILSHIRE BLVD		LOS ANGELES	CA	90017	
WELLS FARGO SECURITIES, LLC (0250)	ATTN: SCOTT NELLIS OR REORG MGR	CORP ACTIONS - MAC D109-010 1525 WEST W.T. HARRIS BLVD, 1B1		CHARLOTTE	NC	28262	
WELLS FARGO SECURITIES, LLC (0250)	ATTN: STEVE TURNER OR REORG MGR	CORP ACTIONS - NC0675 1525 WEST W.T. HARRIS BLVD, 1B1		CHARLOTTE	NC	28262	
WELLS FARGO SECURITIES, LLC (0250)	ATTN: ROBERT MATERA OR REORG MGR	CORP ACTIONS - NC0675 1525 WEST W.T. HARRIS BLVD, 1B1		CHARLOTTE	NC	28262	
WILSON-DAVIS & CO., INC. (0283)	ATTN: BILL WALKER OR REORG MGR	236 S. MAIN ST.		SALT LAKE CITY	UT	84101	
WILSON-DAVIS & CO., INC. (0283)	ATTN: JANET TAYLOR OR REORG MGR	236 S. MAIN ST.		SALT LAKE CITY	UT	84101	

Exhibit D

Nominees and Depository Service Ilist
Served via Email

Names	Emails
Ameriprise Financial (0756/7260)	2 Emails Redacted
BANK OF NEW YORK MELLON (0901/2510)	14 Emails Redacted
BARCLAYS CAPITAL /BARCLAYS BANK (0229/7254/5101)	4 Emails Redacted
BB & T SECURITIES(0702)	1 Email Redacted
BNP PARIBAS (2787/1569/2154)	4 Emails Redacted
BNY MELLON/ NE TRUST (0954/2485)	12 Emails Redacted
BROWN BROTHERS HARRIMAN & CO. (0010)	8 Emails Redacted
CANTOR FITZGERALD (7311)	2 Emails Redacted
CHARLES SCHWAB & CO., INC. (0164)	2 Emails Redacted
CITIBANK, N.A. (0908/0418/0505/0274)	10 Emails Redacted
CLEARSTREAM	nathalie.chataigner@clearstream.com; cherifa.maameri@clearstream.com; david.mccauley@clearstream.com; hulya.din@clearstream.com; ca_luxembourg@clearstream.com; Clearstream ca_mandatory.events@clearstream.com
CREDIT SUISSE SECURITIES USA LLC - (0355)	12 Emails Redacted
DAVENPORT & COMPANY LLC (0715)	1 Email Redacted
DESERET TRUST COMPANY - I (2497)	4 Emails Redacted
DEUTSCHE BANK SECURITIES (0573)	5 Emails Redacted
E*TRADE /RIDGE CLEARING (0158/0358)	2 Emails Redacted
EDWARD JONES (0057)	2 Emails Redacted
EUROCLEAR	drit@euroclear.com
FIRST CLEARING, LLC (0141)	1 Email Redacted
FIRST SOUTHWEST COMPANY (0309)	1 Email Redacted
GOLDMAN SACHS (0005 / 5208 / 2941)	6 Emails Redacted
INGALLS & SNYDER, L.L.C. (0124)	1 Email Redacted
J.P. MORGAN CLEARING CORP. (0352/2035/0902)	16 Emails Redacted
JANNEY MONTGOMERY SCOTT INC. (0374)	2 Emails Redacted
LPL FINANCIAL CORPORATION (0075)	1 Email Redacted
MERRILL LYNCH (0161/5198/0773)	3 Emails Redacted
MORGAN STANLEY SMITH BARNEY LLC (0015)	10 Emails Redacted
NATIONAL FINANCIAL SERVICES LLC (0226)	5 Emails Redacted
NOMURA SECURITIES/FIXED INCOME (5222)	3 Emails Redacted

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Nominees and Depository Service Iist
Served via Email

Names	Emails
NORTHERN TRUST CO (2669)	1 Email Redacted
OPPENHEIMER & CO. INC. (0571)	5 Emails Redacted
PERSHING LLC (0443)	2 Emails Redacted
RAYMOND JAMES & ASSOCIATES, INC. (0725)	2 Emails Redacted
RBC CAPITAL MARKETS (0235)	6 Emails Redacted
ROBERT W. BAIRD & CO. (0547)	1 Email Redacted
SCOTIA CAPITAL INC. /CDS (5011)	4 Emails Redacted
SEI PRIVATE (2039)	1 Email Redacted
SISCLEAR	ca.notices@six-securities-services.com
SOUTHWEST SECURITIES, INC. (0279)	3 Emails Redacted
STATE STREET BANK AND TRUST (0997/2319)	14 Emails Redacted
STERNE, AGEE & LEACH, INC. (0750)	1 Email Redacted
STIFEL, NICOLAUS & CO (0793)	1 Email Redacted
TD AMERITRADE CLEARING, INC. (0188)	2 Emails Redacted
UBS FINANCIAL SERVICES LLC (0221)	14 Emails Redacted
VANGUARD (0062)	1 Email Redacted
WEDBUSH MORGAN SECURITIES (0103)	1 Email Redacted
WELLS FARGO (0250/2027)	6 Emails Redacted